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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): VOGELSANG et al.
 Serial No.: 10/527,262
 For: DRIVE UNIT COMPRISING A RETARDER
 International Filing Date: September 15, 2003
 US Filing Date: March 9, 2005
 Examiner: Not Yet Assigned
 Art Unit: Not Yet Assigned
 Confirmation No.: 2929
 Customer No.: 27,623
 Attorney Docket No.: WW030USU

**Mail Stop PCT
 COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, VA 22313-1450
 Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
 PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Replacement drawing (with text translated into English);
- ☒ Declaration and Power of Attorney form executed by Klaus Vogelsang and Peter Heilinger;
- ☐ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ _____ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to **VOITH TURBO GMBH & CO. KG** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ Information Disclosure Statement (Form - 1449);

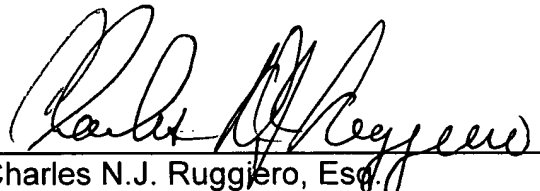
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
☐ Preliminary Amendment; and
☐ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	17 - 20 =	0	x \$50.00	\$
Independent Claims	1 - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee			x \$360.00 = \$0.00	
SEARCH FEE			\$500.00	
EXAMINATION FEE			\$200.00	
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)			\$	
TOTAL FILING FEE			\$PAID	
1/2 FILING FEE FOR SMALL ENTITY			\$	

- ☒ A check in the amount of \$ 300.00 to cover the surcharges (\$260) and assignment recordation fee (\$40) is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

October 4, 2005
Date


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02 FC:1618

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV789924670US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on October 4, 2005.

Suzanne Lombardo
(Typed name of person mailing paper)


(Signature of person mailing paper)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/527,262	Klaus Vogelsang	WW030USU

INTERNATIONAL APPLICATION NO.

PCT/EP03/10249

I.A. FILING DATE

09/15/2003

PRIORITY DATE

09/13/2002

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP
ONE LANDMARK SQUARE, 10TH FLOOR
STAMFORD, CT 06901

CONFIRMATION NO. 2929

371 FORMALITIES LETTER



OC000000016690903

Date Mailed: 08/04/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/09/2005
- English Translation of the IA filed on 03/09/2005
- Copy of the International Search Report filed on 03/09/2005
- Copy of IPE Report filed on 03/09/2005
- Copy of Annexes to the IPE filed on 03/09/2005
- English Translation of Annexes to the IPE filed on 03/09/2005
- Preliminary Amendments filed on 03/09/2005
- Information Disclosure Statements filed on 03/09/2005
- Copy of references cited in ISR filed on 03/09/2005
- U.S. Basic National Fees filed on 03/09/2005
- Priority Documents filed on 03/09/2005
- Specification filed on 03/09/2005
- Claims filed on 03/09/2005
- Abstracts filed on 03/09/2005
- Drawings filed on 03/09/2005

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AUG 11 2005

OHLANDT, GREELEY
RUGGIERO & PERLE, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. The current translation of the application into English is defective as described below. Note a processing fee will be required if submitted later than 30 months from the priority date.
 - The text in the drawings has not been properly translated.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months

from the priority date (37 CFR 1.492(f)).

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$260** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/527,262	PCT/EP03/10249	WW030USU